

Procedures for Applying Halts and Resumption of Trading in Securities Markets

1. Purpose:

In order to implement the confidentiality and immediate disclosure mechanism of major information, when disclosing or convening the board of directors to resolve specific major matters before 5 p.m. on business days, in order to allow sufficient time for the major information that occurs or is announced to be widely disclosed, we proactively report to the Taiwan Stock Exchange Co., Ltd. The company (referred to as the "Stock Exchange") applies to suspend trading to provide investors with time to digest information and reduce information asymmetry, and applies to resume trading after the relevant information is fully disclosed or explained.

2. Scope:

- 2.1 Listed securities issued by the company. (Such as common shares, special shares, etc.)
- 2.2 The company issues products linked to its equity (such as convertible corporate bonds, corporate bonds with stock options) and trades them in over-the-counter buying centers.
- 2.3 A third party issues products linked to the company's equity (such as the exchange of corporate bonds, warrants, individual stock options and individual stock futures) and trades them on the stock exchange, over-the-counter trading center or Taiwan Futures Exchange.

3. Definition:

- 3.1 Suspension of trading: The stock exchange suspends trading of listed securities for a specific period of time on a listed company or upon the application of a listed company, pending the disclosure of relevant information; each trading suspension shall be for more than one business day and less than three business days. shall be limited and may be implemented continuously when necessary.
- 3.2 Resume trading: The stock exchange resumes trading in listed securities of listed companies or upon the application of listed companies.

4. Reference documents:

- 4.1 Article 2, Article 13-1 to Article 13-4 of the Taiwan Stock Exchange Co., Ltd.'s procedures for verification and disclosure of material information of listed companies.
- 4.2 Article 185 of the Company Law.
- 4.3 Enterprise merger and acquisition law.

5. Rights and Responsibilities:

- 5.1 Board of Directors: The approval of these operating procedures shall also apply to amendments.
- 5.2 General Manager: approves the application for suspension of trading or resumption of trading.
- 5.3 Financial unit: Responsible for the application for suspension or resumption of trading, always pay attention to whether there is any situation that should be applied for suspension or resumption of trading, and maintain a two-way communication channel with the stock exchange service colleagues.

6. Flowchart:

(not applicable)

7. Procedure/Method:

- 7.1 Declaration of suspension and resumption of trading procedures:
 - 7.1.1 If the company discloses or convenes a board meeting to resolve any of the following
 - 7.1.1.1~7.1.1.6 before 5:00 p.m. on a business day, it shall fill out the "Transaction Suspension Application" on the business day before the disclosure or convening. For relevant reasons and content, if you apply to the stock exchange for suspension of trading, but if you are unable to apply within the time limit due to urgent circumstances, you may apply before 7:30 a.m. on the business day when it is announced or held:
 - 7.1.1.1 Severe production reduction or complete shutdown.

7.1.1.2 Various situations stipulated in Article 185 of the Company Law:

7.1.1.2.1 Conclude, change or terminate a contract regarding the leasing of the entire business, entrusted operation or regular joint operation with others.

7.1.1.2.2 Transfer all or a substantial part of the business or property.

7.1.1.2.3 Those who transfer all the business or property of others and have a significant impact on the company's operations.

7.1.1.3 Those who apply to the court for bankruptcy or reorganization.

7.1.1.4 Merger, division, acquisition, share exchange, conversion or transfer, or re-cancellation. (Excluding mergers and acquisitions that do not require resolution by the shareholders' meeting under the Enterprise Merger and Acquisition Law)

7.1.1.5 The product that has completed new product development and testing has been successfully developed and has officially entered the mass production stage, and the important development progress of new products or new technologies. However, this does not apply to those that have no significant impact on shareholders' equity or security prices.

7.1.1.6 Others that have a significant impact on shareholders' equity or security prices.

7.1.2 If the company discovers that mass media reports or other information show that it has a significant impact on shareholders' rights or securities prices and cannot explain it on the day of discovery, it should proactively apply to the stock exchange for a suspension of trading.

7.1.3 Due to the provisions of 7.1.1, the company has suspended trading of securities after review and announcement by the stock exchange. Subsequently, if the relevant information on the reasons for the suspension of trading has been fully explained or due to changes in circumstances, it is no longer necessary to continue to suspend trading. , you should immediately fill out the "Application Form for Resumption of Trading" stating the relevant reasons and content, and apply to the stock exchange for resumption of trading.

7.1.4 The above-mentioned "Application for Trading Suspension" and "Application for Resume Trading" should be approved by the general manager, stamped with the seal of the company and the person in charge, and then faxed to the stock exchange for application. At the same time, the certificate should be notified by email and phone. Exchange, and inform the company of the name and phone number of the contact person.

7.2 After the stock exchange announces the company's suspension or resumption of trading information on the basic market report website, the company should publish major information on the suspension or resumption of trading within one hour.

7.3 Before the company applies for a trading suspension and before the relevant information of the case is made public, the company's directors, supervisors, managers and employees who have learned of internal material information should comply with the company's "Internal Material Information Handling Procedures" and other regulations. Implement a confidentiality mechanism and adhere to the following principles when disclosing important information to the outside world:

7.2.1 Information disclosed should be accurate, complete and timely.

7.2.2 The disclosure of information should have a basis.

7.2.3 Information should be disclosed fairly.

7.4 Other matters: This operating procedure shall be implemented after approval by the board of directors, and the same shall apply when it is revised.

8. Related documents:

8.1 Document: "Internal Material Information Processing Procedures"

8.2 Form: "Application Form for Suspension of Transaction", "Application Form for Resume Transaction"

8.3 Records and others: None